



Attorney Docket No: U007 (BPAY.0010004)

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Timothy L. Robinson

Serial No.: 10/625,045

Examiner: To Be Assigned

Confirmation No.: 1087

Art Unit: 3621

Filed: 7/23/2003

For: SYSTEM AND METHOD FOR BIOMETRIC AUTHORIZATION OF AGE-RESTRICTED TRANSACTIONS CONDUCTED AT AN UNATTENDED DEVICE

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
  - ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
  - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.
- ☐ Authorization to charge a credit card for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.

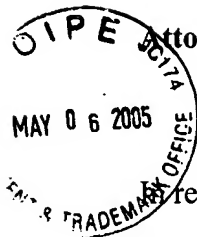
Respectfully submitted,

Dated: 5/6/05

By:

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, copies of documents \_\_\_\_ cited in the attached Form PTO/SB/08A is enclosed.
- ☒ Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
- ☒ No copies of documents B1 and C1-C9 listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 10/251,305, filed September 20, 2002 to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) \_\_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_\_ application serial no. \_\_\_\_ and mailed on \_\_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_ cited in the attached Form PTO/SB/08A.
- ☒ The Examiner's attention is directed to co-pending patent Application Serial No. 11/034,054, filed on January 13, 2005, Application Serial No. 11/076,891, filed on March 11, 2005, Application Serial No. 11/076,893, filed on March 11, 2005, and Application Serial No. 11/076,892, filed on March 11, 2005, 2005, which are directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

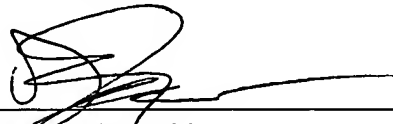
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

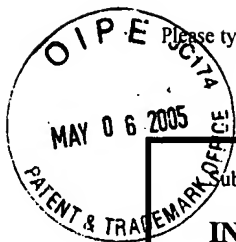
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Respectfully submitted,

By:

  
Duane S. Kobayashi  
Reg. No. 41122



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PTO/SB/08A (08-00)

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				<b>Application Number</b>	10/625,045
				<b>Filing Date</b>	7/23/2003
				<b>First Named Inventor</b>	ROBINSON
				<b>Group Art Unit</b>	3621
				<b>Examiner Name</b>	To Be Assigned
<b>Sheet</b>	1	<b>of</b>	2	<b>Attorney Docket Number</b>	U007 (BPAY.0010004)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY
		Number	Kind Code (if known)		
	A1	20040215565		Huffman	10-2004
	A2	20040158524		Anderson et al.	08-2004
	A3	20040155101		Royer et al.	08-2004
	A4	20040088232		Minnis, JR.	05-2004
	A5	20040083172		Wiederin	04-2004
	A6	20040020982		Hoffman et al.	02-2004
	A7	20030209599		Gatto	11-2003
	A8	20030144956		Yu et al.	07-2003
	A9	20030105725		Hoffman	06-2003
	A10	20030018567		Flitcroft et al.	01-2003
	A11	20020173986		Lehew et al.	11-2002
	A12	20020111917		Hoffman et al.	08-2002
	A13	20020046121		Walker, et al.	04-2002
	A14	20010047343		Dahan et al.	11-2001
	A15	20010000535		Lapsley et al.	04-2001
	A16	6,796,492		Gatto	09-2004
	A17	6,792,422		Stride et al.	09-2004
	A18	6,685,088		Royer et al.	02-2004
	A19	6,662,166		Pare et al.	12-2003
	A20	6,651,063		Vorobiev	11-2003
	A21	6,594,376		Hoffman et al.	07-2003
	A22	6,581,042		Pare et al.	06-2003
	A23	6,567,787		Walker et al.	05-2003
	A24	6,542,874		Walker, Jindal	04-2003
	A25	6,470,322		Walker, et al.	10-2002
	A26	6,415,262		Walker, et al.	07-2002
	A27	6,363,485		Adams et al.	03-2002
	A28	6,334,113		Walker, et al.	12-2001
	A29	6,334,112		Walker, et al.	11-2001
	A30	6,317,723		Walker, et al.	11-2001
	A31	6,230,148		Pare et al.	05-2001
	A32	6,149,055		Gatto	11-2000
	A33	6,105,010		Musgrave	08-2000
	A34	5,930,804		Walker, et al.	10-1999
	A35	5,857,079		Claus et al.	01-1999
	A36	5,546,523		Gatto	08-1996

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>1</sup>
		Office	Number	Kind Code			
	B1	WO	01/20525	A1	Loyaltyworks, Inc.	03-2001	

<b>Examiner Signature</b>	<b>Date Considered</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant is to place a check mark here if English language Translation is attached

Please type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	10/625,045
				Filing Date	7/23/2003
				First Named Inventor	ROBINSON
				Group Art Unit	3621
Examiner Name	To Be Assigned				
Sheet	2	of	2	Attorney Docket Number	U007 (BPAY.0010004)

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	Office of NYS Attorney General Eliot Spitzer. Financial Giant Joins Fight Against Online Gambling. Press Release. <a href="http://www.oag.state.ny.us/press/2002/jun/jun14a_02.html">http://www.oag.state.ny.us/press/2002/jun/jun14a_02.html</a> . Jun. 2002.	
	C2	Zions Bank. Cards for Any Business Occasion. <a href="http://www.zionsbank.com/bank_cards.jsp?leftNav=bf_bfinance&amp;topNav=bfinance">http://www.zionsbank.com/bank_cards.jsp?leftNav=bf_bfinance&amp;topNav=bfinance</a> .	
	C3	ABSA. Purchasing Credit Card. <a href="http://www.absa.co.za/Corporate/0,2999,2210,00.html">http://www.absa.co.za/Corporate/0,2999,2210,00.html</a> .	
	C4	Visa U.S.A. Visa Purchasing. <a href="http://www.usa.visa.com/corporate/corporate_solutions/payment/visa_purchasing.html?it=c/corporate/corporate_solutions/index%2Ehtml Visa%20Purchasing%20">http://www.usa.visa.com/corporate/corporate_solutions/payment/visa_purchasing.html?it=c/corporate/corporate_solutions/index%2Ehtml Visa%20Purchasing%20</a> .	
	C5	University of Wisconsin-Green Bay. Purchasing Department: Purchasing Card. <a href="http://www.uwgb.edu/Purchasing/purchasingCard/procurement07.htm">http://www.uwgb.edu/Purchasing/purchasingCard/procurement07.htm</a> .	
	C6	Reference Guides Glossary Terms (Online Glossary Terms and Definitions). Merchant Glossary: Merchant Category Code (MCC) (Merchant Category Code) (MCC) Help). <a href="http://glossary.reference-guides.com/Merchant/Merchant_Category_Code_MCC/">http://glossary.reference-guides.com/Merchant/Merchant_Category_Code_MCC/</a> . 2003.	
	C7	University of Denver. Purchasing Card User Guide. <a href="http://www.du.edu/purchasing/purcard.html#2.%20HOW%20DOES%20THE%20CARD%20WORK?">http://www.du.edu/purchasing/purcard.html#2.%20HOW%20DOES%20THE%20CARD%20WORK?</a> .	
	C8	Williams College Controller's Office. Purchasing Card Procedures. <a href="http://www.williams.edu/admin/controller/pcard.html#whatis">http://www.williams.edu/admin/controller/pcard.html#whatis</a> . Jan. 2005	
	C9	Arizona State University. The Purchasing Card: A Guide for Users. <a href="http://www.asu.edu/purchasing/pdf/purchcard.pdf">http://www.asu.edu/purchasing/pdf/purchcard.pdf</a> . Jan. 2005	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> Applicant is to place a check mark here if English language Translation attached.